Fiduciary Fitness Program

ERISA FIDUCIARY ADVISORS

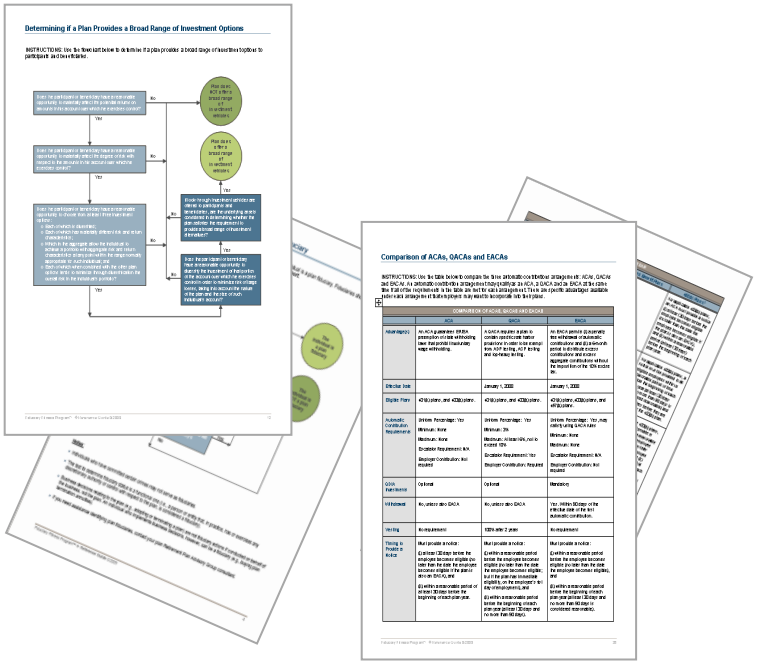
The **Fiduciary Fitness Program**™ (FFP) is an independent compliance tool to measure the health of a retirement plan. Our program provides resources as a means to identifying potential weaknesses in your plan and remedy through education, diligence, and process.

Retirement Plans require prudent governance by plan sponsors and fiduciaries. The **Fiduciary Fitness Program**™ is a well-designed decision-making process to confirm and document proper roles and execution of responsibilities in a prudent manner.

PROGRAM GOALS:

- Identify plan fiduciaries and understand the extent of their specific responsibilities
- Comply with ERISA §404(a) and §404(c)
- Document the hiring process for service providers and other vendors
- Identify parties in interest to the plan and take steps to monitor transactions
- Understand major exemptions under ERISA that permit transactions with parties in interest
- Review plan documents in light of current plan operations and make necessary updates
- Comply with reporting and disclosure requirements
- Ensure that individuals are properly bonded and covered by liability insurance and more

BEST PRACTICES APPROACH:

The Program offers a holistic approach to fiduciary best practices, and encompasses key deliverables:

- **Fiduciary Plan Review**™: Annual Review of adehernce to Fiducairy best practices.
- **Fiduciary Fitness Training Videos** - Twenty 5-7 minute long modules to be viewed by committee members to educate fiduciaries regarding their responsibilities.
- **Reference Guide:** Graphs, charts and checklists provide easy to understand technical guidance for the completion of action items contained on the Report Card.