

# ERISA FIDUCIARY ADVISORS, INC.

## 2014 Privacy of Nonpublic Personal Financial Information EFA Vault & ShareFile Authorization

As the Plan Sponsor of a retirement program you have provided us with certain Nonpublic Personal Financial Information in connection with establishing your account and providing investment advisory services. This includes information pertaining to your company and your employees. This Information will generally be derived from information we receive from you, your employees, your other retirement plan vendors, and information about your securities transactions with us or other vendors which may be providing financial services to you or your employees.

We restrict access to your personal and account information to our personnel and third party service providers on a “need to know” basis in order to provide products or services to you. We always maintain physical, electronic, and procedural safeguards to guard your non-public personal information

We do not disclose any Nonpublic Personal Financial Information about you or your employees to anyone, other than your approved third party retirement program vendors, except as permitted by law. If you have any questions pertaining to our procedural safeguards please contact our CEO, Thomas B. Bastin, at 866-606-4015.

We are making your plan information available to you online via our new fiduciary vault at [www.my401kdocs.com](http://www.my401kdocs.com). This is a voluntary program you can elect to participate in. We will not include any participant information in this vault. Plan level information will be posted in PDF format. This will include plan documents, summary plan description, plan amendments, IRS Form 5500, EFA quarterly reports, investment policy statement, investment committee bylaws, all vendor contracts, SAS report, auditor report, annual notices including Section 404(c) and QDIA, as well as EFA required documentation such as our annual Form ADV filing and this privacy notice. Discrimination testing will not be posted as it contains personal participant information unless you specifically advise us to do so.

This document shall serve as authorization indicating that EFA is allowed to store the above listed information online for your benefit. EFA utilizes an outside vendor called ShareFile for this service. Your information will be stored in their mainframe. You can visit [www.sharefile.com](http://www.sharefile.com) in order to review their safety and security procedures. EFA accepts no liability for any breaches of the ShareFile system. You can learn more about the security utilized by ShareFile from the following link: <http://www.sharefile.com/virtual-data-room/security.aspx>.

**Please be advised the following company, \_\_\_\_\_, hereby authorizes their documents be stored online within the EFA Vault hosted by ShareFile. In addition, the individuals listed below are authorized by the company to have access to the EFA Vault:**

\_\_\_\_\_ Email: \_\_\_\_\_

\_\_\_\_\_ Email: \_\_\_\_\_

\_\_\_\_\_ Email: \_\_\_\_\_

\_\_\_\_\_ Email: \_\_\_\_\_

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EFA Vault & ShareFile Authorization**

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This action is taken and approved by the following individual who has express authority to do so as a representative of the company:

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date